



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants Omry Ben-Ezra, et al.

U.S. Serial No. 10/560,654

Filed May 1, 2006

For VAGAL STIMULATION FOR ANTI-EMBOLIC

THERAPY

1185 Avenue of the Americas New York, New York 10036 March 8, 2007

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In order to ensure compliance with applicants' duty of disclosure under 37 C.F.R. §1.56 and §1.97(a)-(d), applicants submit this Information Disclosure Statement to supplement the Information Disclosure Statement filed January 29, 2007. Applicants request that the documents listed on Form PTO-1449, attached hereto as Exhibit A, be considered and made of record in the aboveidentified application. These documents are the following:

- International Application Publication No. WO 06/102370 published September 28, 2006 (UNIVERSITY MASSACHUSETTS) (Exhibit 1);
- Schaldach, M., (1992) "New Concepts In Electrotherapy Of The Heart," Electrotherapy of the Heart, Springer Verlag Heidelberg: 210-214 (Exhibit 2);
- U.S. Application Publication No. 2006/0047325 published

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March 2, 2006 (Thimineur, M., et al.);

- 4. U.S. Application Publication No. 2006/0064140 published March 23, 2006 (Whitehurst, T., et al.);
- U.S. Application Publication No. 2006/0149337 published
 July 6, 2006 (John, M.);
- 6. U.S. Application Publication No. 2006/0167498 published July 27, 2006 (DiLorenzo, D.);
- 7. U.S. Patent No. 5,058,599 issued October 22, 1991 to Anderson, H.;
- 8. U.S. Patent No. 5,615,684 issued April 1, 1997 to Hagel, P., et al.;
- U.S. Patent No. 6,058,328 issued May 2, 2000 to Levine,
 P.A., et al.;
- 10. U.S. Patent No. 6,104,956 issued August 15, 2000 to Naritoku, D.K., et al.;
- 11. U.S. Patent No. 6,522,926 issued February 18, 2003 to Kieval, R., et al.;
- 12. U.S. Patent No. 6,571,122 issued May 27, 2003 to Schroeppel, E., et al.;
- 13. U.S. Patent No. 6,622,041 issued September 16, 2003 to Terry, R., et al.;
- 14. U.S. Patent No. 6,690,971 issued February 10, 2004 to

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Schauerte, P., et al.;

Copies of documents numbers 1-2 are attached hereto as Exhibits 1-2. In accordance with 37 C.F.R. \$1.92(a)(2)(ii), copies of U.S. Patents and U.S. Patent Application Publications need not be provided. Accordingly, a copy of documents listed above as items 3-14 are not submitted herewith.

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any fee is required, authorization is hereby given to charge the amount of such fee to Deposit Account No. 03-3125.

Respectfully submitted,

hereby certify that correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

March 8, 2007

Reg. No. 28,678

Date

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PTO/SB/08A (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

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Sheet 2

IN FORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/560,654			
Filing Date	1 May 2006			
First Named Inventor	Omry Ben-Ezra			
Art Unit	3762			
Examiner Name				
Attorney Docket Number	75632/JPW/YC	7		

Examiner	Cite	Document Number	Dublication 2	DOCUMENTS	
Initials*	No.1	Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
	3	^{US-} 2006/0047325	03-02-2006	Thimineur, M., et al.	Tigures Appeal
	4	^{US-} 2006/0064140	03-23-2006	Whitehurst, T., et al.	
	5	^{US-} 2006/0149337	07-06-2006	John, M.	
	6	^{US-} 2006/0167498	07-27-2006	DiLorenzo, D.	
	7	^{US-} 5,058,599	10-22-1991	Anderson, H.	
	8	^{US-} 5,615,684	04-01-1997	Hagel, P., et al.	
	9	^{US-} 6,058,328	05-02-2000	Levine, P.A., et al.	
	10	^{US-} 6,104,956	08-15-2000	Naritoku, D.K., et al.	
	11	^{US-} 6,522,926	02-18-2003	Kieval, R., et al.	
	12	^{US-} 6,571,122	05-27-2003	Schroeppel, E., et al.	
	13	^{US-} 6,622,041	09-16-2003	Terry, R., et al.	
	14	^{US-} 6,690,971	02-10-2004	Schauerte, P., et al.	
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	,	FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	$\overline{}$
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages Or Relevant Figures Appear	T°
	1	WO 2006/102370	09-28-2006			-
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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			Application Number	10/560,654		
INFORMAT			Filing Date	1 May 2006		
STATEMENT BY APPLICANT		First Named Inventor	Omry Ben-Ezra			
(Use as m	any sheets as n	ecessary)	Art Unit	3762		
	,		Examiner Name			
Sheet 1	of	2	Attorney Docket Number	75632/JPW/YC	•	

<u> </u>		NON PATENT LITERATURE DOCUMENTS	-
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	2	Schaldach, M., (1992) "New Concepts In Electrotherapy Of The Heart," Electrotherapy of the Heart, Springer Verlag Heidelberg: 210-214	

Examiner		Date	
Signature		Considered	
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: